# Radhey Hari Government P.G. College Kashipur (Guideline for Girl Students and All Other Women)

Revised, Updated and Issued W.e.f. Session 2024-25



As per the- The Sexual Harassment Of Women At Workplace (Prevention, Prohibition And Redressal) Act, 2013 Commonly known as PoSH Act, 2013

PREPARED BY : PROF. ANURAG AGRAWAL ISSUED BY : PROF. SUMITA SRIVASTAV PRINCIPAL Introductory Details:

The Sexual Harassment Of Women At Workplace (Prevention, Prohibition And Redressal) Act, 2013 (No. 14 of 2013) (22nd April, 2013) is– An Act to provide protection against sexual harassment of women at workplace and for the prevention and redressal of complaints of sexual harassment and for matters connected therewith or incidental thereto.

The present Booklet is being released solely for the purpose of spreading awareness among all girl students, Women teachers, staff members and for any other woman visitor of the college, arising out of impugned final judgment and order dated 12-05-2023

In C.A. No. 2482/2014 passed by the Supreme Court of India, Miscellaneous Application Diary No(s). 22553/2023 is case of writ

AURELIANO FERNANDES ----- Petitioner(s)

Versus

THE STATE OF GOA & ORS.----Respondent(s)

The present booklet consist of the following information as per the order dated 13-08-2024 by the Hon'ble Supreme Court, communicated to this office through PID: 226287/2024 in Diary No. 22553/2023 (SEC III) –

- 1. Information of duly constituted ICC in terms of the provisions of the PoSH Act. (*Internal Complaint Committee names and Designation*)
- 2. Details of the E-mail IDs and contact numbers of the designated person(s), the procedure prescribed for submitting an online complaint, as also the relevant rules, regulations and internal policies are made readily available on the website of Institution. The information furnished shall also be updated from time-to-time.Visit the website of the Institute for more details at- <u>https://rhgpgckashipur.ac.in/</u>

- 3. Same as point 2 above
- 4. Steps taken to familiarize members of the ICCs with their duties and the manner in which an inquiry ought to be conducted on receiving a complaint of sexual harassment at the workplace, from the point when the complaint is received, till the inquiry is finally concluded and the Report submitted. (Select Relevant details are taken from the pages of -"Handbook on Sexual Harassment of Women at Workplace (Prevention, Prohibition and Redressal) Act, 2013 for Employers / Institutions / Organisations/ Internal Complaints Committee / Local Complaints Committee" published by Ministry of Women and Child Development, GoI November 2015.
- 5. The information about orientation programs, workshops, seminars and awareness program to up-skill members of the ICCs and to educate women employees and women's groups about the provisions of the Act. (It Can be Accessed on the Institute Website-<u>https://rhgpgckashipur.ac.in/</u> and under Activities, Programs, Events, NCC, NSS and other extra-curricular activities by the College.)

#### <u>Disclaimer:</u>

All the detail provisions of the PoSh Act 2013, the legal provisions, the manner, definitions, procedures are taken and included in this booklet from the government sources and the Act; as available in the print form. All the contents prepared solely for the purpose of awareness among girls students and women employees, in case of any discrepancy or error or doubt or otherwise - the institute will not be responsible. However, it is advised to refer to the original text of the PoSH Act.

# Internal Complaint Committee (ICC)

## Constituted as follows for the Academic Session 2024-25 :-

## 1. Prof. Janki Suyal, HoD, Department Of Economics

(As Presiding Officer' a woman employed at a senior level at workplace from amongst the employees) E-Mail and contact details are provided on the website of the Institute

Members from amongst employees preferably committed to the cause of women or have had experience in social work or have legal knowledge

- 2. Prof. (Dr.) Meena Sharma- Department of Sociology E-Mail and contact details are provided on the website of the Institute
- 3. Dr. Puja Arya From Faculty of Commerce E-Mail and contact details are provided on the website of the Institute
- 4. Dr. Mamta Belwal From Faculty of Science (Deptt. Of Chemistry) E-Mail and contact details are provided on the website of the Institute
- 5. Dr. Rimpi Pal From Faculty of Education (B.Ed. Deptt.) E-Mail and contact details are provided on the website of the Institute

(Sd/- ) Principal "The meaning and content of the fundamental rights guaranteed in the Constitution of India are of sufficient amplitudes to encompass all facets of gender equality...." Lp.

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Late Chief Justice J.S. Verma, Supreme Court of India, Vishaka v. State of Rajasthan

#### 2.2 WHAT IS A WORKPLACE?

A workplace is defined as "any place visited by the employee arising out of or during the course of employment, including transportation provided by the employer for undertaking such a journey." As per this definition, a workplace covers both the organised and un-organised sectors.

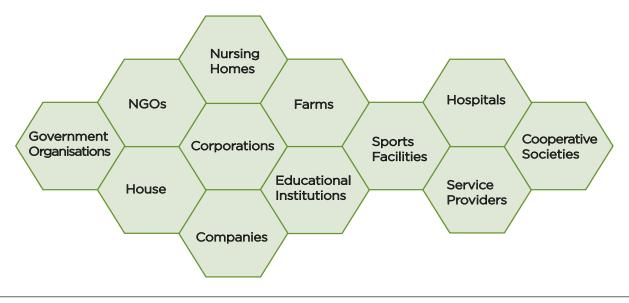
It also includes all workplaces whether owned by Indian or foreign company having a place of work in India. As per the Act, workplace includes:

- Government organizations, including Government company, corporations and cooperative societies;
- Private sector organisations, venture, society, trust, NGO or service providers etc. providing services which are commercial, vocational, educational, sports, professional, entertainment, industrial, health related or financial activities, including production, supply, sale, distribution or service;
- Hospitals/Nursing Homes;
- Sports Institutes/Facilities;
- Places visited by the employee (including while on travel) including transportation provided by employer;
- A dwelling place or house.

The Act defines the Unorganised Sector as:

- Any enterprise owned by an individual or self-employed workers engaged in the production or sale of goods or providing services of any kind;
- Any enterprise which employs less than 10 workers.

All women working or visiting workplaces, for example:



#### 2.3 WHAT IS SEXUAL HARASSMENT AT THE WORKPLACE?

"Sexual Harassment" includes anyone or more of the following unwelcome acts or behaviour (whether directly or by implication), namely:

- 1. Physical contact or advances;
- 2. A demand or request for sexual favours;
- 3. Making sexually coloured remarks;
- 4. Showing pornography;
- 5. Any other unwelcome physical, verbal or non-verbal conduct of a sexual nature



2.4 KEY ELEMENTS OF WORKPLACE SEXUAL HARASSMENT

Very often situations that start off innocently end up in inappropriate and unprofessional behaviours. It is important to remember that **workplace sexual harassment** is **sexual, unwelcome** and the experience is **subjective.** It is the **impact** and not the intent that matters and it almost always occurs in a matrix of **power**. It is possible that a woman may experience a single instance of sexual harassment or a series of incidents over a period of time. It is important also to remember that each case is unique and should be examined in its own context and according to the surrounding circumstances as a whole.

The following table highlights the subjective nature of the experience and the impact it may have on the person involved, irrespective of the intent of such behaviour.

To enable prevention of sexual harassment at the workplace, it is critical to recognize and differentiate between welcome and unwelcome sexual behaviour. Listed are some examples of how "unwelcome" and "welcome" behaviour is experienced.

#### THE FIRST STEP TO PREVENTION IS RECOGNITION

Workplace Sexual Harassment is behaviour that is

UNWELCOME

**SEXUAL** in nature

A SUBJECTIVE experience

**IMPACT** not intent is what matters

Often occurs in a matrix of **POWER** 



UNWELCOME	WELCOME
Feels bad	Feels good
One-sided	Reciprocal
Feels powerless	In-control
Power-based	Equality
Unwanted	Wanted
Illegal	Legal
Invading	Open
Demeaning	Appreciative
Causes anger/sadness	Нарру
Causes negative self-esteem	Positive self-esteem

#### Impact of inappropriate behaviour

The impact of sexual harassment at the workplace is far-reaching and is an injury to the equal right of women. Not only does it impact her, it has a direct bearing on the workplace productivity as well as the development of the society. Below is a list of select examples of such negative impacts.



	Towards A M
Professional	Personal
Decreased work performance	• Depression
<ul> <li>Increased absenteeism, loss of pay</li> </ul>	Anxiety, panic attacks
	Traumatic stress
<ul> <li>Loss of promotional opportunities</li> </ul>	• Sleeplessness
• Retaliation from the respondent, or	• Shame, guilt, self-blame
colleagues/ friends of the respondent	Difficulty in concentrating
<ul> <li>Subjected to gossip and scrutiny at work</li> </ul>	• Headaches
	• Fatigue, loss of motivation
Being objectified	Personal Difficulties with time
<ul> <li>Becoming publicly sexualized</li> </ul>	• Eating disorders (weight loss or gain)
• Defamation	<ul> <li>Feeling betrayed and/or violated</li> </ul>
	• Feeling angry or violent towards the respondent
Being ostracized	Feeling powerless
Having to relocate	Loss of confidence and self esteem
Job and career consequences	Over all loss of trust in people
	Problems with intimacy
Weakened support network	Withdrawal and isolation

### SEXUAL HARASSMENT IS A SUBJECTIVE EXPERIENCE

In 2010, the High Court of Delhi endorsed the view that sexual harassment is a subjective experience and for that reason held "We therefore prefer to analyze harassment from the [complainant's] perspective. A complete understanding of the [complainant's] view requires... an analysis of the different perspectives of men and women. Conduct that many men consider unobjectionable may offend many women... Men tend to view some forms of sexual harassment as "harmless social interactions to which only overly-sensitive women would object. The characteristically male view depicts sexual harassment as comparatively harmless amusement. ... Men, who are rarely victims of sexual assault, may view sexual conduct in a vacuum without a full appreciation of the social setting or the underlying threat of violence that a woman may perceive."

Dr. Punita K. Sodhi v. Union of India & Ors. W.P. (C) 367/2009 & CMS 828, 11426/2009 On 9 September, 2010, in the High Court of Delhi

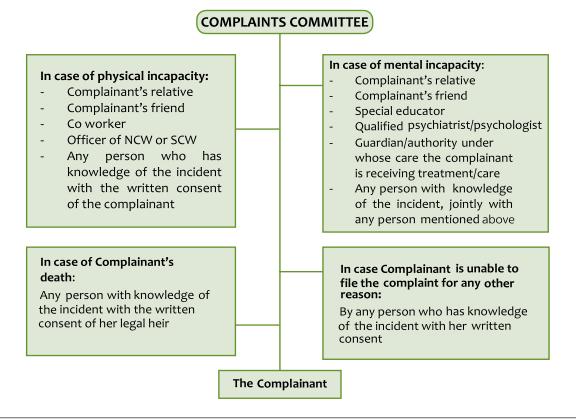
# **Redress**

"...the time has come when women must be able to feel liberated and emancipated from what could be fundamentally oppressive conditions against which an autonomous choice of freedom can be exercised and made available by women. This is sexual autonomy in the fullest degree"

Late Chief Justice J.S. Verma, Justice Verma Committee Report, 2013

his section is about Redress. It provides helpful information on who can complain, to whom, and what a complaint should contain. It also gives information and lays out the steps involved when a complaint has reached the Complaints Committee, in terms of the process, findings and recommendations.

#### 4.1 WHO CAN COMPLAIN AND WHERE?

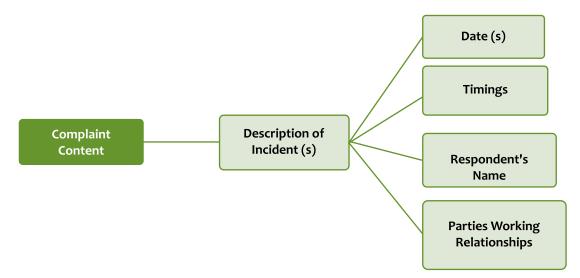




Generally, where there are less than ten workers, any woman employee can complain to the Local Complaints Committee with the support of the Nodal Officer, when required. It is the responsibility of the District Officer to designate a person as the Nodal Officer in every block, taluka and tehsil in rural or tribal areas and wards or municipalities in the urban areas, to receive the complaints of workplace sexual harassment from women. The Nodal Officer will forward all such complaints within seven days of its receipt to the concerned Complaints Committee for appropriate action. In most other workplaces, a woman employee can make a complaint to the Internal Complaints Committee.

#### 4.2 WHAT SHOULD THE COMPLAINT CONTAIN?

The written complaint should contain a description of each incident(s). It should include relevant dates, timings and locations; name of the respondent(s); and the working relationship between the parties. A person designated to manage the workplace sexual harassment complaint is required to provide assistance in writing of the complaint if the complainant seeks it for any reason.



#### 4.3 WHAT CAN AN EMPLOYEE/WORKER EXPECT?

When it comes to redress for workplace sexual harassment, employee/worker has a right to expect -a **trained**, **skilled** and **competent** Complaints Committee, a time bound process, information confidentiality, assurance of non-retaliation, counselling or other enabling support where needed and assistance if the complainant opts for criminal proceedings.

#### 4.3 A. RIGHTS OF THE COMPLAINANT

- An empathetic attitude from the Complaints Committee so that she can state her grievance in a fearless environment
- A copy of the statement along with all the evidence and a list of witnesses submitted by the respondent

- Keeping her identity confidential throughout the process
- Support, in lodging FIR in case she chooses to lodge criminal proceedings
- In case of fear of intimidation from the respondent, her statement can be recorded in absence of the respondent
- Right to appeal, in case, not satisfied with the recommendations/findings of the Complaints Committee

#### 4.3 B. RIGHTS OF THE RESPONDENT

- A patient hearing to present his case in a non-biased manner
- A copy of the statement along with all the evidence and a list of witnesses submitted by the complainant
- Keeping his identity confidential throughout the process
- Right to appeal in case not satisfied with the recommendations/findings of the Complaints Committee

#### 4.4 KEY RESPONSIBILITIES

To effectively address workplace sexual harassment complaints, a Complaints Committees must first be aware of their key responsibilities, some of which are highlighted below:

- 1. Be thoroughly prepared
- 2. Know the Act, Policy and/or relevant Service Rules
- 3. Gather and record all relevant information
- 4. Determine the main issues in the complaint
- 5. Prepare relevant interview questions
- 6. Conduct necessary interviews
- 7. Ensure parties are made aware of the process and their rights/responsibilities within it
- 8. Analyse information gathered
- 9. Prepare the report with findings/recommendations

#### 4.5 KNOWLEDGE, SKILLS, TRAINING

Dealing with workplace sexual harassment complaints is often complex. Hence Complaints Committee/s must possess critical skills/capacity to effectively carry out their role. That includes a sound grasp of the Act, Vishaka Guidelines, applicable Service Rules, relevant laws and an understanding of workplace sexual harassment and related issues. Complaints Committee skills must include an ability to synthesise information i.e. relevant documents, the law and interviews. They should also be able to communicate effectively, write clearly, listen actively and conduct interviews. They should be competent at showing empathy, being impartial and being thorough. They should be able to identify sexual harassment and its impact.



A Complaints Committee/s is required to be trained in both **skill** and **capacity** to carry out a fair and informed inquiry into a complaint of workplace sexual harassment. An absence of such training will lead to unequal and unfair results, which can cost employers, employees, complainants as well as respondents.

#### FAIR AND INFORMED INQUIRY

Within 6 months of joining The Statesman newspaper, Rina Mukerhjee lost her job. While the company alleged that her work was "tardy" and "lacking in quality" it suppressed Rina's complaint of sexual harassment against the news coordinator, Ishan Joshi. Within her first month of work, Rina had taken her complaint directly to the Managing Director, Ravinder Kumar. Time passed, nothing happened and Rina was fired. In a rare display of social context insight and clarity, the Industrial Tribunal (West Bengal) rejected the Statesman's claim that Rina only referred to "professional" harassment in her complaint to Mr. Kumar. In the Tribunal's view, Mr. Kumar's failure to dig deeper was clearly suspect- "… it becomes clear that there was no Committee on Sexual Harassment, as per the Honb'le Supreme Court's direction in Vishaka vs State of Rajasthan, existing in The Statesman, at that relevant time. …to expect-the lady workman to file a written complaint and not to believe the same, when it has been filed 'at a later date' is sheer bias." The Statesman was ordered to reinstate Rina and grant her full back wages.

M/s The Statesmen Ltd. and Smt. Rina Mukherjee. Order of K.K. Kumai, Judge, dated 06.02.2013, Fourth Industrial Labour Tribunal (West Bengal)

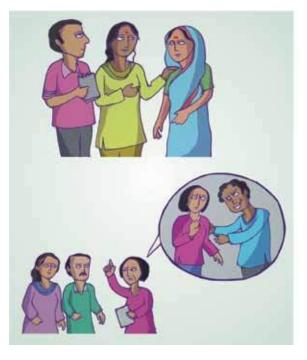
#### 4.6 DO'S AND DON'TS FOR COMPLAINTS COMMITEE

#### <u>DO'S</u>

- 1. Create an enabling meeting environment.
- 2. Use body language that communicates complete attention to the parties.
- 3. Treat the complainant with respect.
- 4. Discard pre-determined ideas.
- 5. Determine the harm.

#### DON'TS

- 1. Get aggressive.
- 2. Insist on a graphic description of the sexual harassment.
- 3. Interrupt.
- 4. Discuss the complaint in the presence of the complainant or the respondent.

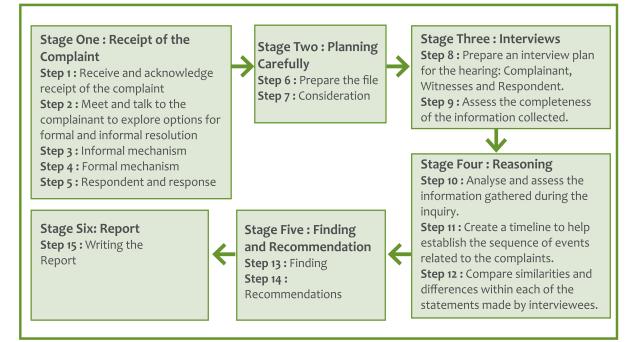


#### 4.7 NON-NEGOTIABLES DURING THE INQUIRY PROCESS

During a redress process the Complaints Committee/s are required to assure confidentiality, nonretaliation and recommend interim measures as needed to conduct a fair inquiry.

#### 4.8 THE SEXUAL HARASSMENT COMPLAINT PROCESS

The Complaints Committee/s needs to have information on the six stages (including fifteen steps), detailed below, for addressing a complaint of workplace sexual harassment.



#### **STAGE ONE: RECEIPT OF THE COMPLAINT**

A fair, prompt, and impartial inquiry process starts with a Complaints Committee capable of creating an environment of trust and confidence throughout the inquiry.

#### Step 1: Receive and Acknowledge Receipt of the Complaint

The complainant submits a sexual harassment complaint in writing within three months of the last alleged incident to the Complaints Committee or any other person designated by the organization/ District Officer (i.e. Nodal Officer) to receive and manage complaints of sexual harassment.

#### Training and Skill Building : An Institutional Responsibility

It is important that both the Committee and any other person designated by the organization/ District Officer to receive or otherwise handle a sexual harassment complaint has there quired competency and skill building training formanaging a complaint and/or any concern related to workplace sexual harassment.



Upon receipt, the complaint should be reviewed for:

- 1. In the context of workplace that the sexual harassment complaint is to be met with under the Act, such as, Service Rules, Workplace Policy, Vishaka Guidelines and related laws.
- 2. Clarity in the complaint.
- 3. Additional information needed from the complainant.

The complainant will be notified in writing to acknowledge receipt.



#### Step 2: Meet and Talk to the Complainant to Explore Options for Formal and Informal Resolution

The complainant needs to be informed about the ensuing process and the informal or formal options available for the redress.

#### Step 3: Informal Mechanism

If the complainant chooses to adopt the informal process to resolve her complaint/experience of workplace sexual harassment, then it is the responsibility of the person designated to receive and manage the Complaints Committee to explore enabling ways to address the complaint. This can include counselling, educating, orienting, or warning the respondent to promptly stop the unwelcome behaviour or appointing a neutral person to act as a conciliator between the parties to resolve the complaint through conciliation.

However, before recommending conciliation, the Committee must assess the severity of the situation and if necessary, advise and enable the complainant to opt for the formal route. At no point, the Complaints Committee will advise the complainant to resolve the matter directly with the respondent. Where such an informal process is successful, such resolution is to be recorded by the conciliator and forwarded to the ICC/LCC who in turn will forward the same to the employer/District Officer for further action based on the resolution. Employers/District Officers are responsible for taking steps to ensure that the complainant is not subject to any backlash.

The choice of a formal process rests with the complainant even if the person responsible for managing the complaint believes that this can be resolved through an informal process.

#### Step 4: Formal Mechanism

1. If the complainant opts for formal redress, or the nature of the complaint is serious which calls for formal redress, then the Complaints Committee responds to the complaint.

- 2. Complaints Committee/s members must be free of any conflict of interest with either the concerned parties or with the outcome.
- 3. Ensure that the independent third party member has sound knowledge, skill, and experience in dealing with workplace sexual harassment complaints.

#### Step 5: Respondent and Response

- 1. As per the procedure provided in the Service Rule; or in absence of the same
- 2. Within seven days of receiving a complaint, the Complaints Committee will inform the respondent in writing that a complaint has been received.
- 3. The respondent will have an opportunity to respond to the complaint in writing within ten days thereafter.

#### **STAGE TWO: PLANNING CAREFULLY**

#### Step 6: Prepare the File

A sound inquiry relies on sound preparation. This includes taking into account the following steps:

#### 1. Documentation

Create an independent confidential file of the complaint and all subsequent related documentation.

#### 2. Review Law & Policy

Have a clear knowledge and understanding of the Act/Rules as well as the relevant Service Rules, Workplace Policy, Vishaka Guidelines, existing practices and related laws.

#### 3. Make a List

Make a list of all the dates and events relating to the written complaint as well as the names of witnesses, where applicable.

#### **Relevant Witnesses**

The complaint may include the names of people believed to have witnessed the alleged incidents or those who may have been aware of other information directly related to the complaint. The respondent may also include the names of witnesses. In addition, the Complaints Committee also has the discretion to call any person as a witness, who it believes, has something to contribute to the inquiry process.

#### 4. Supporting Documents

Obtain and review all supporting documents relevant to the complaint, including those presented by the complainant and the respondent.



#### 5. Act Quickly

Create a plan. This can be used as an initial checklist to ensure that all of the critical elements are covered. It includes:

- a. The names of the parties and witnesses to be interviewed
- b. Any documentary support that needs to be examined
- c. Timeline

#### Preparing the Plan - Key Elements to Consider

1. Defining the Issues

What is the complaint

Questions or points that require clarification

2. Determining a violation of the Policy/Act

What information is needed to determine that there has been a violation

3. Logistics

Venue for conducting the interviews. Are special logistics required

Creating timelines for each

4. Critical Information

What documents need to be looked at

Witnesses to be questioned and in what order

#### 5. Areas of Questioning

Questions for each specific incident and party/witness

Questions for each particular issue

Issues likely to require follow-up

#### Step 7: Consideration

#### 1. Interim Measures

While a complaint is pending inquiry, a complainant can make a written request for her transfer or the transfer of the respondent, or for leave (upto 3 months). She can also request the Complaints Committee to restrain the respondent from reporting on her work performance or writing her confidential report or supervising her academic activities (in case she is in educational institution). Even in the absence of such a request, the Complaints Committee must take corrective action. It is essential to take these actions in order to prevent potential *ongoing sexual harassment*.

#### 2. Support

Maintain clear, timely communication with the parties throughout the process. Provide complainants with any specific assistance they may require, such as counselling, addressing health-related concerns or sanctioning of leave.

#### **STAGE THREE: INTERVIEWS**

#### Step 8: Prepare an Interview Plan for the Hearing: Complainant, Witnesses, Respondent

- 1. Based on the results of the previous steps and before conducting interviews, the Complaints Committee should decide which issues need to be pursued for questioning.
- 2. Interviews are meant to obtain information that is relevant to the complaint from individuals.
- 3. Interviews should be conducted with each person separately and in confidence. The complainant and the respondent should not be brought face to face with each other.

Interviewing Tips			
<ol> <li>Introduction         Questioning the parties and witnesses in a situation of workplace sexual harass- ment is a sensitive task. The Complaints Committee must therefore proceed with empathy, while appreciating at times, a different version of the facts.     </li> </ol>	<ul> <li>2. Questioning</li> <li>Determine beforehand the follow</li> <li>Date, time, place and order of</li> <li>Questions and their order</li> <li>Time for each interview</li> <li>Generally rely on questions relate and how. Remember:</li> <li>Questions ought to be clear a</li> <li>Obtain as much information a interview.</li> <li>Do not share information gath</li> </ul>	interviews ed to <b>who, what,</b> nd focused. s possible throug	gh the
<b>3. Choose an Appropriate</b> <b>Location</b> To create trust, comfort and openness	<b>4. Explain the Interview</b> <b>Process</b> Explain how the interview will be conducted and what is expected	Take note	<b>the Interview</b> s and explain for a proper
<ul> <li>6. Manage the Interview</li> <li>The Chairperson of the Complaints Committee is responsible for ensuring the interviews are correctly carried out and due process followed</li> <li>7. Sign Statements</li> <li>At the conclusion of the interview, have those interviewed, sign and date statements made and recorded before the Complaints Committee</li> </ul>			



#### Step 9: Assess the Completeness of the Information Collected

At this stage, the Complaints Committee should review the information gathered and their factual relevance to each aspect of the complaint. This will help determine whether there is enough information to make a finding on the complaint.

#### **STAGE FOUR: REASONING**

**Step 10:** Once the information and review is complete, the Complaints Committee will make its reasoned finding(s), which involves having to:-

- Identify the substance of each aspect of the complaint.
- Determine, whether or not, on a **balance of probability**, the unwelcome sexual harassment took place.
- Check that such behaviour/conduct falls within the definition of sexual harassment set out in the relevant Act/Rules, Policy, Service Rules or law.
- Comment on any underlying factor(s) that may have contributed to the incident.

**Step 11:** Create a timeline to help establish the sequence of events related to the complaint.

**Step 12:** Compare similarities and differences within each of the statements made by the interviewees.

#### **STAGE FIVE: FINDING AND RECOMMENDATION**

#### Step 13: Finding

Based on the above, the Complaints Committee must arrive at a finding of whether the complaint is upheld, not upheld or inconclusive.

Provided, where both the parties are employees, before finalising the findings, the ICC/LCC shall share its finding with both the parties and provide them an opportunity to make representation against it before the Committee.

#### Step 14: Recommendations

Based on its findings, the Complaints Committee shall then make appropriate recommendations which may include:

- 1. Where the Complaints Committee is unable to uphold the complaint, it shall recommend no action.
- 2. Where the Complaints Committee upholds the Complaint, it may recommend such action as stated within the relevant Policy or Service Rules, which may include a warning to terminate.

#### In case service rules do not exist, recommended action may include:

- Disciplinary action, including a written apology, reprimand, warning, censure;
- Withholding promotion/ pay raise/ increment;
- Termination;
- Counselling;
- Community service.

3. The Complaints Committee may also recommend financial damages to the complainant, while deciding the amount they shall take into consideration:

- Mental trauma, pain, suffering and emotional distress caused;
- Medical expenses incurred;
- Loss of career opportunity;
- Income and financial status of the respondent.

If the amount is not paid it can be recovered as an arrear of land revenue.

4. The Complaints Committee can also give additional recommendations to address the underlying factors contributing to sexual harassment at the workplace.

#### **STAGE SIX: REPORT**

#### Step 15: Writing the Report

The Complaints Committee will prepare a final report that contains the following elements:

- A description of the different aspects of the complaint;
- A description of the process followed;
- A description of the background information and documents that support or refute each aspect of the complaint;
- An analysis of the information obtained;
- Findings as stated above;
- Recommendations.

An inquiry must be completed within 90 days and a final report submitted to the Employer or District Officer (as the case may be) within ten days thereafter. Such report will also be made available to the concerned parties. The Employer or District Officer is obliged to act on the recommendations within 60 days. Any person not satisfied with the findings or recommendations of the Complaints Committee or non-implementation of the recommendations, may appeal in an appropriate court or tribunal, as prescribed under the Service Rules or where no such service rules exist, in such manner as may be prescribed.

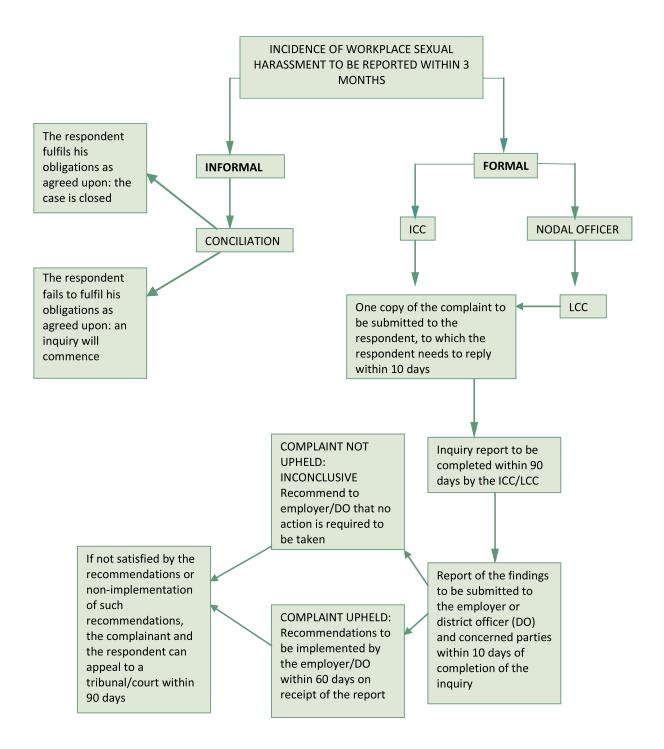


Given that most workspaces today are gender unequal and male-dominated, it is important that complaints by women be treated fairly and not dismissed. The mere inability to substantiate a complaint or provide adequate proof will not attract legal action against the complainant. However, making a false or malicious complaint or producing a forged or misleading document is an offence.

#### 4.9 AT A GLANCE

- 1) Complaints Committee's Checklist
  - Review the written complaints and response to complaints
  - Review the applicable policy, the Act/Rules, Vishaka Guidelines and other relevant laws
  - Develop a plan
  - Meet with the complainant
  - Meet with the respondent
  - Meet with the witnesses
  - Record statements and have them dated and signed
  - Review and adapt the plan, as needed
  - Proceed with further interviews, as needed
  - Analyze all the facts to develop reasoning
  - Arrive at the findings
  - Give recommendations
  - Prepare the report
  - Submit the file to the organization or District Officer for implementation of the recommendations and for safe keeping.

#### **INQUIRY PROCESS AT A GLANCE**





#### 2) Timelines as per the Act

Submission of Complaint	Within 3 months of the last incident
Notice to the Respondent	Within 7 days of receiving copy of the complaint
Completion of Inquiry	Within 90 days
Submission of Report by ICC/LCC to employer/DO	Within 10 days of completion of the inquiry
Implementation of Recommendations	Within 60 days
Appeal	Within 90 days of the recommendations

#### 3) Confidentiality

The Act prohibits the publication or making known the contents of a complaint and the inquiry proceedings. Any breach of confidentiality will result in specific consequences.

The Act prohibits the disclosure of:

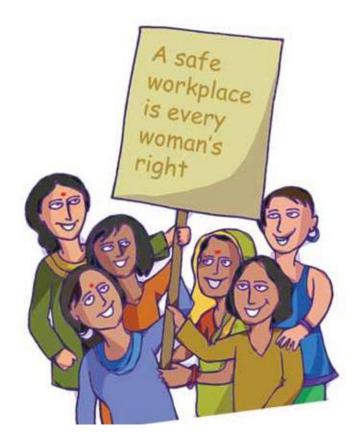
- Contents of the complaint;
- Identity and address of complainant, respondent and witnesses; Information pertaining to conciliatory/inquiry proceedings or recommendations of the ICC/LCC;
- Action taken by the employer/DO.

**Accountability:** Any person entrusted with the duty to handle or deal with the complaint, inquiry or any recommendations or action taken under the provisions of this Act.

**Consequences:** As per the Service Rules or Rs.5,000/ to be collected by the employer.

**Exception:** Dissemination of information regarding the justice secured without disclosure of name, address, identity and particulars of complainant or witnesses.

Section 4 completes the details of the Complaints Committee process in addressing formal complaints. It serves as a guideline to action in providing appropriate redress. The manner in which a complaint is addressed will make all the difference to the equal rights of working women as well as the kind of workplace culture being promoted.





Government of India Ministry of Women and Child Development